

## U.S. STATE PRIVACY RIGHTS NOTICE

Last Updated date: June 28, 2024

AUO Corporation (**AUO** or ) provides this U.S. State Privacy Rights Notice (**Notice**) as a supplement to [the Privacy Statement](#) and any other AUO privacy policy, notice or statement in which this Notice is linked or referenced.

### DEFINITIONS

Throughout this Notice, we use the following terms with the following meanings:

- **Business Purposes** is a term defined in CCPA that means performing our Services; managing and Processing interactions and transactions with Consumers; securing and debugging the Services; [REDACTED] and marketing; quality assurance; research and development; and other business purposes as may be defined in CCPA from time to time.
- **Consumer** (or **you**) means an individual who is a U.S. resident and acting in a business-to-business context. We do not collect ~~normal information from individuals acting in a non-business or family or household context.~~
- **personal information** means information that identifies or can be used to identify a Consumer.
- **Privacy Statement** means the AUO Corporation Privacy Statement, available [here](#)
- ~~Source~~ means AUO's websites and other online services in which this Notice is posted or linked.
- **processing** (and its variants) means any operation (or set of operations), such as collecting, receiving, purchasing, performing or personal information.
- **U.S. Privacy Laws** means the [California Consumer Privacy Act](#) (as amended by the California Privacy Rights Act) (**CCPA**) and any other similar U.S. state privacy law that applies to U.S. residents acting in a business-to-business context, each as amended, repealed, consolidated or ~~passed into law.~~
- **Vendors** means ~~any individual or organization that processes personal information on behalf of~~ AUO and contractors and other organizations with which AUO shares personal information pursuant to a contract for Business Purposes.

Other capitalized terms used but not defined in this Notice have the meanings given to them in the CCPA.

This Notice also serves as our Notice at Collection for purposes of the CCPA.

### WHEN DOES THIS NOTICE APPLY?

This Notice covers the twelve (12) months prior to the "Last Updated" date above. This Notice is updated at least once per year. If this Notice conflicts with the Privacy Statement, this Notice will govern as to Consumers, unless expressly stated otherwise. If AUO's Processing materially changes between updates to this Notice, AUO will provide a supplemental notice when or before the changes apply.

### TO WHICH PERSONAL INFORMATION PROCESSING DOES THIS NOTICE APPLY?

AUO collects personal information for the purposes described in the Privacy Statement, including:

- directly from you
- from your computer and mobile devices when you use the Services
- from our affiliates
- from our Vendors
- from public sources of data
- from other businesses or individuals.

Generally, AUO processes personal information to provide the Services and as otherwise related to the operation of our business, including for Business Purposes. (See also Section V below.)

AUO also discloses personal information: to our Vendors; to you or to other third parties at your direction or through your actions; for the additional purposes explained at the time of collection (such as in the applicable privacy policy or notice); as required or permitted by applicable law; to the government or ~~into operations to comply with law or legal process or protect or enforce legal rights or obligations or~~ prevent harm; and as part of an acquisition, merger, asset sale or other transaction in which a third party assumes control over all or part of AUO's business. These disclosures are concluded in a context that is not a "sale" or "share" (as defined in the U.S. Privacy Laws).

Subject to restrictions and obligations under U.S. Privacy Laws, our Vendors may also use your personal information for Business Purposes and other approved purposes and ~~may engage their own vendors to~~ help perform services for us.

### WHAT CATEGORIES OF PERSONAL INFORMATION DOES AUO COLLECT FROM OR ABOUT CONSUMERS?

~~AUO may collect or receive (and may have collected or received during the 12-month period prior to the~~ "Last Updated" date above) the categories of personal information listed below and as listed in our Privacy Statement. (Not all categories are collected or received for every Consumer. Some personal information included in one category may overlap with other categories.)

- **Personal Identifiers** – AUO collects name, email address or other username, password, telephone number and similar contact information from or about Consumers .
- **Personal Records** – AUO collects name, address, telephone number and financial purchase information. Some personal information included in this category may overlap with other categories.
- **Personal Characteristics or Traits** – In some circumstances, AUO receives personal information that receive special protections under U.S. law, such as age, gender and nationality. AUO only receives this category of personal information when a Consumer chooses to provide that information to AUO, which AUO will use for Business Purposes.
- **Commercial Information** – AUO collects purchase and transaction information.
- **Internet Usage Information** – AUO collects Internet or other electronic network activity information when you interact with the Services, including type, operating system and other identifying information about computers and mobile devices that access our Services and Internet service provider name and Internet protocol (*IP*) address.
- **Sensory Data (audio, visual and similar information)** – AUO collects audio information from interactions with AUO's customer service team. AUO also receives Consumers' profile photos when Consumers choose to share them, such as by social media.
- **Professional or Employment-Related Information** – AUO collects professional or employment related information about Consumers who are are personnel of Vendors and business partners, as well as other Consumers who choose to provide this category of information to AUO.
- **Inferences from Personal Information** – *see cookies related disclosure in Privacy Statement*]: AUO collects inferences drawn from personal information to create a profile reflecting preferences, characteristics and behavior of Consumers who interact with AUO.
- **Sensitive Personal Information** – AUO generally does not collect personal information that is ~~is to be considered sensitive under applicable law~~

AUO does not collect:

- *Government Issued Identification Numbers (e.g., social security, driver's license, state identification card or passport number)*
- *Non-public Education Records as defined in Family Educational Rights and Privacy Act (20 U.S.C. Sec. 1232g; 34 C.F.R. Part 99), i.e., education records directly maintained by an educational institution or party acting on its behalf, such as grades, transcripts, class lists, schedules, identification codes, financial information or disciplinary records*
- *Precise Geolocation, i.e., any data that is derived from a device and that is used or intended to be used to locate a consumer within a geographic area that is equal to or less than the area of a circle with a radius of 1,850 feet*
- *Communication Content (e.g., the contents of a consumer's mail, email and text messages, other than when AUO is the intended recipient of the communication)*
- *Genetic Data (the Processing of genetic data to uniquely identify a natural person)*
- *Biometric Information (the Processing of biometric information for the purpose of uniquely identifying a consumer)*
- *Health Information (personal information collected and analyzed concerning a consumer's health, medical history, mental or physical health, diagnosis/condition and medical treatment)*
- *Sex Life / Sexual Orientation (personal information collected and analyzed concerning a consumer's sex life or sexual orientation)*
- *Children's Data (personal information collected from a known child)*

AUO may collect other information that meets the definition of personal information under U.S. Privacy Laws but is not reflected by a category above. When this occurs, we treat the information as personal information as required by those laws but will not include it when we describe our practices in this Notice.

As permitted by applicable law, we do not treat deidentified data or anonymized information as personal information. We reserve the right to convert (or permit others to convert) personal information to deidentified information and may elect to treat deidentified information as personal information. We will not attempt to reidentify data that we maintain as deidentified data.

## **WHAT ARE AUO'S PERSONAL INFORMATION DISCLOSURE AND RETENTION PRACTICES?**

Category of Personal Information Collected	Categories of Recipients	Retention Period
<p><b>Personal Identifiers</b></p>	<p>Disclosures for Business Purposes:</p> <ul style="list-style-type: none"> <li>• <del>Vendors, such as data analytics, data security, marketing services providers, and survey and research</del></li> <li>• Business partners</li> <li>• Other members of AUO's corporate group</li> <li>• Law enforcement, government regulators and courts</li> <li>• Other parties (e.g., professional advisors (accountants, lawyers), potential or actual acquirers or investors and their professional advisers in connection with any actual or proposed merger, acquisition or investment in or of all or any part of our business within the limits of Additional Business Purposes (defined below).</li> </ul>	<p>We retain your personal information for as long as AUO has a legitimate purpose for retention. Unless stated otherwise, we retain personal information for as long as your account is active and as set forth in our data retention policy</p>
<p><b>Personal Records</b></p>	<p>Disclosures for Business Purposes:</p> <ul style="list-style-type: none"> <li>• Vendors, such as data analytics, data security, marketing services providers, and survey and research</li> <li>• Business partners</li> <li>• Other members of AUO's corporate group</li> <li>• Law enforcement, government regulators and courts</li> <li>• Other parties (e.g., professional advisors (accountants, lawyers), potential or actual acquirers or investors and their professional advisers in connection with any actual or proposed merger, acquisition or investment in or of all or any part of our business within the limits of Additional Business Purposes (defined below).</li> </ul>	<p>We retain your personal information for as long as AUO has a legitimate purpose for retention. Unless stated otherwise, we retain personal information for as long as your account is active and as set forth in our data retention policy</p>

Category of Personal Information Collected	Categories of Recipients	Retention Period
<b>Commercial Information</b>	<p>Disclosures for Business Purposes:</p> <ul style="list-style-type: none"> <li>• Vendors, such as data analytics, data security, marketing services providers, and survey and research</li> <li>• Business partners</li> <li>• Other members of AUO's corporate group</li> <li>• Law enforcement, government regulators and courts</li> <li>• Other parties (e.g., professional advisors (accountants, lawyers), potential or actual acquirers or investors and their professional advisers in connection with any actual or proposed merger, acquisition or investment in or of all or any part of our business within the limits of Additional Business Purposes (defined below)).</li> </ul>	<p>We retain your personal information for as long as AUO has a legitimate purpose for retention. Unless stated otherwise, we retain personal information for as long as your account is active and as set forth in our data retention policy</p>
<b>Internet Usage Information</b>	<p>Disclosures for Business Purposes:</p> <ul style="list-style-type: none"> <li>• Vendors, such as data analytics, data security, marketing services providers, and survey and research</li> <li>• Business partners</li> <li>• Other members of AUO's corporate group</li> <li>• Law enforcement, government regulators and courts</li> <li>• Other parties (e.g., professional advisors (accountants, lawyers), potential or actual acquirers or investors and their professional advisers in connection with any actual or proposed merger, acquisition or investment in or of all or any part of our business within the limits of Additional Business Purposes (defined below)).</li> </ul>	<p>We retain your personal information for as long as AUO has a legitimate purpose for retention. Unless stated otherwise, we retain personal information for as long as your account is active and as set forth in our data retention policy</p>

Category of Personal Information Collected	Categories of Recipients	Retention Period
<p><b>Sensory Data (audio, visual and similar information)</b></p>	<p>Disclosures for Business Purposes:</p> <ul style="list-style-type: none"> <li>• Vendors, such as data analytics, data security, marketing services providers, and survey and research</li> <li>• Business partners</li> <li>• Other members of AUO's corporate group</li> <li>• Law enforcement, government regulators and courts</li> <li>• Other parties (e.g., professional advisors (accountants, lawyers), potential or actual acquirers or investors and their professional advisers in connection with any actual or proposed merger, acquisition or investment in or of all or any part of our business within the limits of Additional Business Purposes (defined below).</li> </ul>	<p>We retain your personal information for as long as AUO has a legitimate purpose for retention. Unless stated otherwise, we retain personal information for as long as your account is active and as set forth in our data retention policy</p>
<p><b>Professional or employment-related information</b></p>	<p>Disclosures for Business Purposes:</p> <ul style="list-style-type: none"> <li>• Vendors, such as data analytics, data security, marketing services providers, and survey and research</li> <li>• Business partners</li> <li>• Other members of AUO's corporate group</li> <li>• Law enforcement, government regulators and courts</li> <li>• Other parties (e.g., professional advisors (accountants, lawyers), potential or actual acquirers or investors and their professional advisers in connection with any actual or proposed merger, acquisition or investment in or of all or any part of our business within the limits of Additional Business Purposes (defined below).</li> </ul>	<p>We retain your personal information for as long as AUO has a legitimate purpose for retention. Unless stated otherwise, we retain personal information for as long as your account is active and as set forth in our data retention policy</p>

Category of Personal Information Collected	Categories of Recipients	Retention Period
<p><b>Inferences from personal information</b></p>	<p>Disclosures for Business Purposes:</p> <ul style="list-style-type: none"> <li>• Vendors, such as data analytics, data security, marketing services providers, and survey and research</li> <li>• Business partners</li> <li>• Other members of AUO's corporate group</li> <li>• Law enforcement, government regulators and courts</li> <li>• Other parties (e.g., professional advisors (accountants, lawyers), potential or actual acquirers or investors and their professional advisers in connection with any actual or proposed merger, acquisition or investment in or of all or any part of our business within the limits of Additional Business Purposes (defined below).</li> </ul>	<p>We retain your personal information for as long as AUO has a legitimate purpose for retention. Unless stated otherwise, we retain personal information for as long as your account is active and as set forth in our data retention policy</p>

As required by California law, the table above includes AUO's general retention rules by personal information category. Actual retention periods may, however, vary because each category has several types of personal information and uses. We retain specific pieces of personal information for as long as we have a legitimate purpose for doing so.

**HOW DOES AUO USE AND DISCLOSE PERSONAL INFORMATION - BY PROCESSING PURPOSE?**

- > **Business Purposes:** AUO uses and discloses personal information for Business Purposes as follows:
  1. **Performing services**
    - **Operate the Services**
    - **Account management:** create user accounts and verify user identity for the Services.

- **Customer Support:** provide customer support for the Services or related products and services related to the Services.
- **Communicate about the Services:** send you emails and electronic newsletters and push notifications to communicate with you about your use of the Services and provide you with special offers or promotions.
- **Process orders:** process or fulfill an order or transaction, track customer support, billing and account management.
- **Contact You:** contact you about your use of the Services and, in our discretion, changes to the Services or our policies.
- **Payment and other purchase-related purposes**

2. **Managing Interactions and Transactions:** auditing related to counting ad impressions to inform visitors to AUO's marketing websites to improve audience segments and marketing, identify and analyze trends and create better advertising campaigns related to the Services.

3. **Security:** to protect the security of the Services and its users; identify security threats (e.g., malicious Internet locations or websites) and prevent fraud; prevent, investigate and/or report security incidents.

4. **Debugging:** identify and repair errors that impair existing intended functionality of the Services.

5. **Advertising & Marketing:** conduct marketing about the Services or related third-party products and services (where permitted); determine where and how to advertise and the success of advertising campaigns; conduct promotional activities and serve specific content and offers that are relevant to/customized for you.

6. **Quality Assurance:** verify or maintain the quality or safety of the Services and improve, upgrade or enhance the Services; analyze, measure the effectiveness of and improve the Services.

7. : short-term, transient use, such as non-personalized advertising shown as part of a Consumer's current interaction with AUO and use of the Services' features and functionality.

8. **Research & Development:** research and analytics to better understand how users access and use the Services, both on an aggregated and individualized basis and improve the Services; administer market research and customer satisfaction surveys; respond to user preferences and for other research and analytical purposes; identify customer needs and develop new products and services to meet them; invite customers and others to participate in testing of current and new features or products; create statistical analyses and segment and combine data sets to identify trends. AUO also undertakes content filtering to block information deemed inappropriate according to the profile.

> **Additional Business Purposes.** AUO uses and discloses personal information for the following:

- **comply with applicable laws:** to comply with legal obligations, as part of our general business operations and for other business administration purposes and in response to legal obligations or process.
- **prevent illegal activities, fraud, injury to others or violation of our terms and policies:** to investigate, prevent or act if someone is or may be using information for illegal activities, fraud or in ways that may threaten someone's safety or violate our terms or this Notice.
- **exercise our rights under contract:** enforce AUO's customer contracts and other legal agreements and investigate actual or suspected violations of our legal agreements.
- **protect legal rights:** protect the safety, security and legal rights of customers and users of the Services.

- **monitor the service:** detect, prevent and remediate fraud or other unlawful behavior, security incidents and other technical issues related to the Services.
- **for related or compatible purposes:** for purposes that are related to and/or compatible with any of the foregoing purposes.

> **For purposes of collection:** increased use of personal information. AUO may provide additional disclosures at the time of personal information collection.

> **Commercial Purposes:** AUO uses and discloses personal information for strategic partnerships and providers of complementary offerings.

## **WHAT PRIVACY RIGHTS ARE AVAILABLE AND HOW CAN YOU EXERCISE THEM?**

### **2 Privacy Rights**

Subject to our verification requirements, AUO provides Consumers with the privacy rights described in this section VI. For Consumers who are residents of states without privacy laws that offer privacy rights, AUO will consider requests but will determine in its sole discretion how to process those requests.

To submit a privacy rights request or to submit a request as an authorized agent, email us at [privacy@auo.com](mailto:privacy@auo.com). AUO does not accept or process requests through other means (e.g., via fax).

#### **)b Right to Access/Know**

Residents of California are entitled to access personal information up to twice per 12-month period. AUO may choose to provide you with access to personal information more often, but our service may apply to these subsequent requests.

#### **)2 Categories of Personal Information**

California residents have a right to submit a request for any of the following for the 12-month period prior to the request date:

- The categories of personal information we collect from or about you or otherwise process.
- The categories of sources from which your personal information was collected.
- AUO's business purposes or commercial purposes for collecting and (if applicable) selling or sharing your personal information.
- The categories of third parties to which AUO disclosed your personal information.
- A list of the categories of personal information disclosed for each of the purposes, and for each, the categories of recipients or that no disclosure occurred.
- A list of the categories of personal information Sold or Shared (each as defined below) about you and, for each, the categories of recipients or that no Sale or Share occurred.

#### **)3 Specific Pieces of Personal Information**

You may request to confirm if we are Processing your personal information and, if we are, to obtain a transportable copy (subject to applicable request limits) of your personal information that we have collected and are maintaining. For your specific pieces of personal information, AUO will apply the heightened verification standards as described below. We have no obligation to re-identify information or to keep personal information longer than we need it or are required to by applicable law to comply with access requests.

**)c Right to Delete**

You may request that AUO delete your personal information unless AUO has a basis for retaining it under applicable law. AUO may not have the obligation to delete your personal information that we did not collect directly from you.

**)d Right to Correct Personal Information**

You have the right to request that we correct inaccuracies that you find in the personal information about you maintained by us.

**)e Do Not Sell / Share / Target**

CCPA has a broad concept of **Selling** personal information for which an opt-out is required. California also has an opt-out from **Sharing** for **Cross-Context Behavioral Advertising** (i.e., use of personal information from different businesses or services to target advertisements). AUO may Sell or Share your personal information.

Third-party digital businesses may associate cookies and other tracking technologies that collect personal information about you on the Services or otherwise collect and process personal information that we make available about you, including digital activity information. We understand that giving access to personal information on the Services or otherwise to a third-party digital business could be deemed a Sale and/or Share under some U.S. Privacy Laws. AUO will treat that personal information (e.g., cookie ID, IP address and other online IDs and internet or other electronic activity information) collected by a third-party digital business not limited to acting as our Service Provider or Contractor (which are types of Vendors as defined in the CCPA) as a Sale and/or Share and subject to a Do Not Sell/Share opt-out request. We will not Sell your personal information or Share your personal information for Cross-Context Behavioral Advertising if you make a Do Not Sell/Share opt-out request.

Opt-out for non-cookie personal information: If you want to opt-out of the Sale/Sharing of non-cookie personal information (e.g., your email address), make an opt-out request as described below.

Opt-out for cookie personal information: If you want to opt-out of the Sale/Sharing of cookie-related personal information, we use different technologies to apply preferences for opting out of cookie personal information vs. non-cookie personal information. Our cookie management tool enables you to exercise an opt-out request and enable certain cookie preferences on your browser / device. You must exercise your preferences on the Services that you use and from each browser you use and on each device that you use. Since your browser opt-out is designated by a cookie, if you clear or block cookies, your preferences are no longer effective and you must enable them again. Please also refer to our Privacy Statement for other ways to exercise preferences regarding cookies. If you use ad blocking software, our cookie banner may not appear when you visit the Services and you may need to use the link above to access the cookie preference tools.

We do not knowingly Sell or Share the personal information of Consumers under age 16, unless we receive affirmative (**opt-in**) authorization from either the Consumer who is between age 13 and 16 or the parent or guardian of a Consumer who is less than age 13. If you think AUO may have unknowingly collected personal information of a Consumer under age 16, please contact us at [privacy@auo.com](mailto:privacy@auo.com).

AUO may disclose your personal information for the following purposes (which are not a Sale or Share): (i) if you direct AUO to disclose personal information; (ii) to comply with any Consumer request you submit to AUO; (iii) disclosures among the entities that constitute AUO or as part of a corporate transaction; and (iv) as otherwise required or permitted by applicable law.

## **)(f) Right to Limit Sensitive Personal Information Processing (if/when applicable)**

AUO does not require that you provide sensitive personal information (as defined in U.S. Privacy Laws) to use the Services. If you provide us with sensitive personal information, you have consented to AUO's Processing of the ~~that sensitive personal information~~ sensitive personal information for the purpose for which you provided it. You also may also have certain privacy rights related to your sensitive personal information. Please contact us at [privacy@auo.com](mailto:privacy@auo.com).

## **) Automated Decision Making/Profiling**

If AUO engages in Processing that may constitute Automated Decision Making or Profiling under U.S. Privacy Laws, you have the right to opt-out of certain types of Automated Decision Making or Profiling Processing. Currently, AUO does not engage in these activities.

### **3 How to Exercise Privacy Rights**

To submit a request to exercise your Consumer privacy rights or to submit a request as an authorized agent, email us at [privacy@auo.com](mailto:privacy@auo.com). Please check for and respond to any follow-up inquiries we make. ~~Places to exercise that we do not accept or process requests through other means (e.g. social media).~~

### **4 Verification of Privacy Rights Requests**

We may ask you to provide verifying information, such as your name, email, telephone number and/or account information, before we act on your request. We will review the information provided and may request additional information. We will not fulfill your Right to Know (Categories), Right to Know (Specific Pieces), Right to Delete or Right to Correction request unless you have provided sufficient information for us to reasonably verify you are the Consumer ~~about whom we collected personal information~~. We do not verify opt-outs of Sell/Share unless we suspect fraud.

We verify each request as follows:

- **Right to Access/Know (Categories):** We verify your Request to Access/Know categories of personal information to a reasonable degree of certainty. ~~If we cannot do so, we will refer you to this Notice for a general description of our privacy practices.~~
- **Right to Access/Know:** We verify your Request To Access/Know specific pieces of personal information to a reasonably high degree of certainty. If you fail to provide requested information, we will be unable to verify you sufficiently to honor your request, but we will then treat it as a Right to Know Categories Request.
- **Do Not Sell/Share:** No specific verification required unless we suspect fraud.
- **Right to Delete:** We verify your Request to Delete to a reasonable degree of certainty or to a reasonably high degree of certainty depending on the sensitivity of the personal information and the risk of harm to the Consumer posed by unauthorized deletion. If we cannot verify you sufficiently to honor a deletion request, you can still make a Do Not Sell/Share request.
- **\_\_\_\_\_:** We verify your Request to Correct Personal Information to a reasonable degree of certainty ~~personal information and the risk of harm for~~ unauthorized correction.

~~To protect Consumers, if we are unable to verify a privacy rights request, we are unable to honor the request. We will use personal information provided in a verified privacy rights request only to verify identity or authority to make the privacy rights request and to track and document responses unless AUO also received the personal information for another purpose.~~

## **5 Agent Requests**

You may use an authorized agent to make a

## **5 California Shine the Light Law**

AUO does not share “personal information,” as defined by California’s “Shine the Light” law, with third parties for those third parties own direct marketing purposes. California residents may opt-out of this sharing by contacting us at [privacy@auo.com](mailto:privacy@auo.com).

Please add the statement “Shine the Light Request” in the subject line and in the body of your correspondence. Please also attest to the fact that you are a California resident and provide a current California address for your response. This right is available in addition to CCPA rights and requires a separate request. The Do Not Sell/Share opt-out is broader and will limit our sharing with third parties for their own direct marketing purposes without the need for making a separate Shine the Light request.

AUO will not accept Shine the Light Requests by telephone and we are not responsible for Shine the Light Requests not properly labeled or sent or that are incomplete.

### **HOW TO CONTACT US**

If you have any questions, comments or concerns about our privacy practices, please contact us at [privacy@auo.com](mailto:privacy@auo.com).